

# Spurious Mode Suppression of First Symmetric Mode Lamb Wave Resonator by Modifying Wavelength

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## 1. Introduction

Plate wave devices using higher modes have been studied in recent years for high-frequency and wide-bandwidth (BW) mobile communication filters in 5G and beyond 5G operation.<sup>1-6</sup> The first anti-symmetric mode ( $A_1$ ) resonator or also called laterally excited bulk acoustic resonator (XBAR) can achieve a high frequency of 5 GHz and 6 GHz, which has been applied for Wi-Fi filters with large bandwidth exceeding 600 MHz or 1200 MHz.<sup>3</sup> Since then, the number of reports on the  $A_1$  and higher order A mode Lamb wave resonators have been increasing in recent years. However, the higher the order of mode is, the BW will become narrower.<sup>4</sup>

Another mode of plate wave, the first shear horizontal mode ( $SH_1$ ) has also been reported as it can achieve a high frequency of 3.2 GHz and a wide BW of 24.6% on a 0.57  $\mu\text{m}$  thick LiNbO<sub>3</sub> (LN) thin plate.<sup>5</sup> However, the frequency is still not high enough for 5G filter operations. Another mode of Lamb wave, the first symmetric mode ( $S_1$ ) was not much reported. Liu *et al.* reported an  $S_1$  mode resonator on a 0.033 $\lambda$  thick X-cut LN thin plate with a frequency of 6.4 GHz, but the reported electromechanical coupling factor ( $k^2$ ) is as low as 3.3%.<sup>6</sup>

This paper reports a high-frequency  $S_1$  mode resonator on an LN thin plate with higher  $k^2$  around 10%. This was achieved by selecting the optimal cut angle of LN. Also, spurious responses are reduced by using a suitable inter-digital transducers (IDT) wavelength ( $\lambda$ ).

## 2. Simulation

The suitable cut angle for an  $S_1$  mode resonator was determined by FEM simulation (FEMTET, Murata Software). **Fig. 1** shows the dependency of the phase velocity and  $k^2$  on the second Euler angle  $\theta$  of an LN thin plate with a thickness of 0.1 $\lambda$ .  $S_1$  mode on (0°, 120°, 0°) LN exhibits a high phase velocity of more than 35 km/s, which is higher than those of  $A_1$  and  $SH_1$  modes. **Fig. 2** shows  $k^2$  of  $S_1$  mode on a 0.1 $\lambda$  thick LN as a function of  $\theta$  with electrically free (red curve) and electrically short (green curve) bottom plane.  $S_1$  mode has a high  $k^2$  of 19.4% on (0°, 120°, 0°) LN with electrically short bottom plane like  $SH_1$  mode.<sup>5</sup>

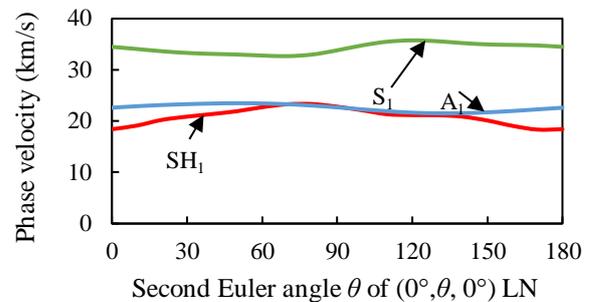


Fig. 1 Phase velocity of various modes of plate waves on LN with 0.1 $\lambda$  thickness as a function of Euler angle (0°,  $\theta$ , 0°).

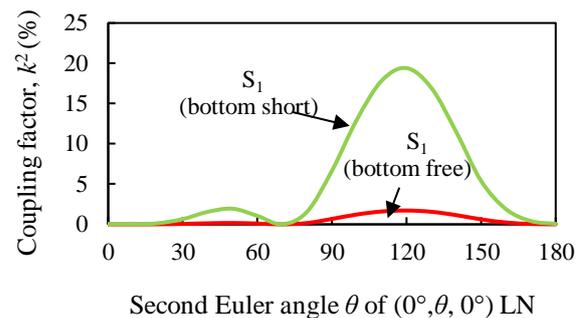


Fig. 2 Coupling factor ( $k^2$ ) of  $S_1$  modes of plate waves on 0.1 $\lambda$  thick LN with electrically free (red curve) and short (green curve) bottom plane as a function of angle (0°,  $\theta$ , 0°).

The backside electrode was necessary to generate a symmetric electric field to excite the symmetric displacement.

The  $S_1$  mode resonator composed of 80 nm thick Al IDT, a 0.5  $\mu\text{m}$  thick (0°, 110°, 0°) LN plate, and an 80 nm thick Al bottom electrode were simulated. The spurious free characteristic was an important parameter for filter application. In Ref. 5, it was considered that a resonator with large metallization ratio (MR) and IDT  $\lambda$  can achieve a spurious free characteristic. Thus, the  $S_1$  mode resonator with MR of 0.8 was considered to strengthen the electric field in the thickness direction. **Fig. 3** shows the simulated frequency characteristic at different IDT  $\lambda$  from 25  $\mu\text{m}$  to 50  $\mu\text{m}$ .  $S_1$  mode was generated at frequency around 5 GHz regardless of  $\lambda$ . As the IDT  $\lambda$  increases, the in-band spurious responses become smaller and almost spurious-free characteristic was generated at IDT  $\lambda$  of more than 35  $\mu\text{m}$ .

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### 3. Fabrication and Measurement

**Fig. 4** shows the  $S_1$  mode Lamb wave resonator structure, which consists of 80 nm thick Al IDT, 0.6-0.7  $\mu\text{m}$  thick ( $0^\circ$ ,  $110^\circ$ ,  $0^\circ$ ) LN plate, and 80 nm thick Al bottom short plane. The fabricated  $S_1$  mode resonator had 5-8 pairs of Al IDT electrodes with a MR of 0.8, aperture of  $5-9\lambda$ , and grating reflectors with 9-18 fingers on both sides of the IDT.

**Fig. 5** shows the measured frequency characteristics of the fabricated  $S_1$  mode resonators with IDT  $\lambda$  of 20 to 50  $\mu\text{m}$ . The resonance frequencies ( $f_r$ ) were measured at 3.05-3.92 GHz, while the anti-resonance frequencies ( $f_a$ ) were measured at 3.4-4.31 GHz. The frequency was slightly lower than the simulated one because the resonator was fabricated on a thinner LN plate than the simulated one. The BW was 10%, which was larger than that reported in Ref. 6.

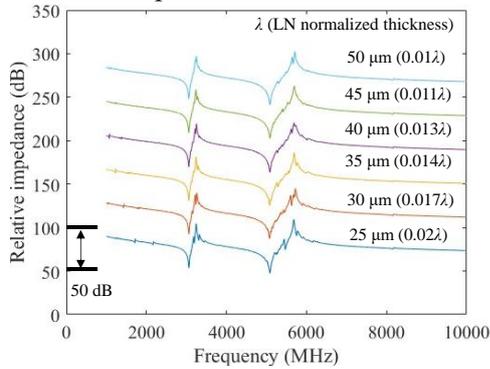


Fig. 3 Simulated frequency characteristics of  $S_1$  mode resonators on a 0.5  $\mu\text{m}$  thick ( $0^\circ$ ,  $110^\circ$ ,  $0^\circ$ ) LN with IDT  $\lambda$  of 25-50  $\mu\text{m}$ .

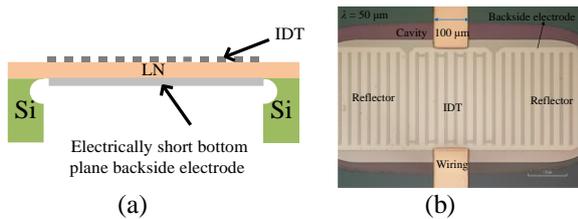


Fig. 4 Structure of fabricated  $S_1$  mode resonator. (a) Cross section and (b) top view.

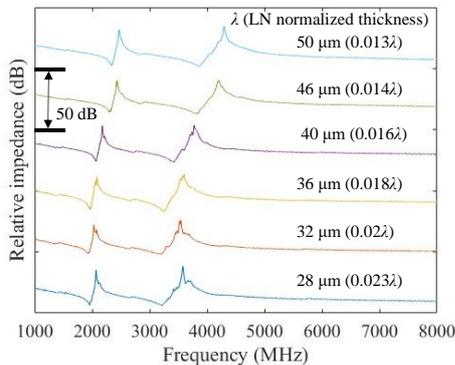


Fig. 5 Measured frequency characteristics of  $S_1$  mode resonators on a 0.65 thick  $\mu\text{m}$  ( $0^\circ$ ,  $110^\circ$ ,  $0^\circ$ ) LN. MR = 0.8, Al IDT thickness = 80 nm, and  $\lambda$  = 4-50  $\mu\text{m}$ .

The frequency characteristics also confirmed that the spurious free characteristic could be achieved with IDT  $\lambda$  larger than 36  $\mu\text{m}$  ( $< 0.018\lambda$  LN normalized thickness). When the IDT  $\lambda$  becomes larger, the LN normalized thickness becomes smaller, and thus the frequency of spurious modes can be moved further away from the main response. However, the  $SH_1$  mode spurious response was also generated around 2.5 GHz although the IDT  $\lambda$  was changed because it has a tendency like  $S_1$  mode wave.

### 4. Conclusion

This paper reported the  $S_1$  mode resonator on a ( $0^\circ$ ,  $110^\circ$ ,  $0^\circ$ ) LN thin plate with a large IDT  $\lambda$  to achieve a spurious-free characteristic. To preferentially excite the  $S_1$  mode wave, an electrically short bottom plane opposite the IDT was needed. The  $S_1$  mode resonator was fabricated with IDT  $\lambda$  of 28-50  $\mu\text{m}$ , an Al IDT and backside electrode thickness of 80 nm, and a MR of 0.8. The measured frequency characteristics show that  $S_1$  mode was generated around 3.5 GHz with wide BW of 10% on a 0.65  $\mu\text{m}$  thick LN plate. The spurious free characteristic was obtained with IDT  $\lambda$  larger than 36  $\mu\text{m}$  ( $< 0.018\lambda$  LN normalized thickness). This research demonstrated that  $S_1$  mode resonator had the potential to be used for 5G new bands filter applications.

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